

O
JAN 13 2003
U.S. TRADEMARK OFFICE
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jamie Goins et al.
Appl. No.: 09/967,267
Filed: September 28, 2001
Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY
Art Unit: 3711
Examiner: Unknown
Docket No.: 0112300-860

Honorable Commissioner for Patents
Washington, DC 20231

RECEIVED
JAN 15 2003
TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,471,387 B1	October 29, 2002	Henshaw et al.

OTHER DOCUMENTS

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY Adam H. Masia

Adam H. Masia
Reg. No.35,602
P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4284

37

TRANSMISSION OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

JAN 13 2003

Docket No.
0112300-860

In the Application Of: Jamie Goins et al.

#5

Serial No.
09/967,267

Filing Date
September 28, 2001

Examiner
Unknown

Group Art Unit
3711

Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);

OR
 - the fee set forth in 37 CFR 1.17(p).

RECEIVED
JAN 15 2003
TECHNOLOGY CENTER

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

JAN 13 2003

Docket No.
0112300-860

Re Application: Jamie Goins et al.

Serial No.
09/967,267

Filing Date
September 28, 2001

Examiner.
Unknown

Group Art Unit
3711

GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited January 7, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Adam H. Masia
Signature

Adam H. Masia (Reg. No. 35,602)

BELL, BOYD & LLOYD LLC

P. O. Box 1135

Chicago, Illinois 60690

Dated: January 7, 2002

RECEIVED
JAN 15 2003
TECHNOLOGY CENTER 2700

CC: